

E-Filing in King County Frequently Asked Questions (FAQS)*

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General Questions

1) When did the mandatory e-filing rule go into effect? July 1, 2009.

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2) What gives the Clerk authority to require that I e-file?

General Rule 30 (GR 30) governs electronic filing in Washington Courts. GR 30 allows local courts to mandate electronic filing. King County Superior Court approved local rule, LGR 30, which mandated electronic filing for attorneys effective July 1, 2009.

3) I do not have an attorney. Do I need to e-file?

No. Only attorneys are required to e-file. The clerk encourages non attorneys to e-file, but it is not mandatory. If you are interested in e-filing you may refer to our website for assistance. http://www.kingcounty.gov/courts/Clerk/E-Filing.aspx

4) Is there a fee to e-file documents?

eFiling is free for non-fee documents. Fee-related documents are subject to the standard fee schedule, plus the standard King County eCommerce transaction fee (\$2.49 for credit cards or \$1.49 for internet check payments).

5) How quickly can I set-up an e-filing account and begin e-filing?

Setting up an eFiling account with the Clerk's office is easy and takes just a few minutes. Once you have set up an account you may begin to e-file immediately. For more information about setting-up and using an eFiling account, visit the Clerk's office website. http://www.kingcounty.gov/courts/Clerk/E-Filing.aspx

6) What if I cannot meet the requirement to e-file?

If you are an attorney and are unable to electronically file for whatever reason, you must apply to the Clerk for a waiver to file documents in paper form. Waiver requests may be made for a single document, an entire case, or a specific period of time. The Clerk will consider each application and will provide a written approval or denial to the requestor. You may continue to file documents in paper form while your request is being processed. If the waiver is denied, all future filings submitted in paper form may be assessed a faulty document fee.

7) What will the Clerk's office do if I do not e-file my documents?

If you are an attorney and you fail to comply with the court rule you may incur a faulty document fee for each document which should be e-filed but is filed in paper form.

8) If I e-mail my documents to the Clerk for filing, will I meet the requirement to e-file?

No. Electronic documents must be submitted to the Clerk via the eFiling application.

9) Do I need to submit all my documents electronically?

The local rule excludes certain documents from e-filing—these documents <u>must</u> be filed in paper form only (LGR 30 (b)(5)):

(A) Documents That Shall Not Be E-Filed. Exceptions to mandatory e-filing include the following documents:



- (i) Original wills and codicils, including new probate cases that include original wills or codicils;
- (ii) Certified records of proceedings for purposes of appeal;
- (iii) Documents of foreign governments under official seal including foreign and out of state adoption documents;
- (iv) Documents presented for filing during a court hearing or trial;
- (v) Document for filing in an Aggravated Murder case;
- (vi) Administrative Law Review (ARL) Petitions;
- (vii) Interpleader or Surplus Funds Petitions; and
- (viii) Documents submitted for in-camera review, including documents submitted pursuant to LGR15
- (ix) Affidavits for Writs of Garnishment and Writs of Execution;
- (x) Foreign (out of state) judgments;
- (xi) New cases of fee based documents filed with an Order in Forma Pauperis;
- (xii) Out of state custody and support registration petitions.

The above-excepted documents must be filed in paper form.

Additionally, certain documents <u>may be e-filed</u>, but are not required to be e-filed:

- (B) Documents That May Be E-Filed. The following documents may be e-filed:
 - (i) Voluminous Documents Voluminous documents of 500 pages or more may be e-filed or filed in paper form.
 - (ii) Trial by Affidavit Motions Motions set on the Trial by Affidavit
 Calendar and supporting documents may be e-filed or filed in paper
 form. If these documents are filed in paper form, the filing party
 shall place the words "Filed as Part of a Trial by Affidavit Motion" in
 the caption of all paper documents filed as part of this exception.
 - (iii) Answers to Writs of Garnishment
 - (iv) Appeals of lower court decisions

See <u>LGR 30</u> for more information.

10) Are there any documents that I cannot file electronically?

Yes. Pursuant to <u>GR 30</u> and LGR 30, certain documents cannot be filed electronically. See LGR 30 for the specific list of documents that are to be filed in paper form only.

Documents that previously were *not* to be filed in the court file under any circumstances are still not to be filed in the court file. Examples of these types of documents are negotiable instruments, exhibits, and trial notebooks.

11) I have a case initiated before 2000 that is still active. Can I e-file documents in cases filed prior to the year 2000?

Yes. Documents to be filed in cases initiated prior to January 1, 2000 are no longer an exception to the mandatory e-filing rule. See <u>LGR 30</u>.



12) Can I use the eFiling application to initiate a new case?

Yes, for most cases. Please see <u>LGR 30</u> for a list of documents that shall not be e-filed.

13) Am I required to e-file new cases?

For most new cases the answer is "Yes"; however, there are certain exceptions. Please see <u>LGR 30</u>.

14) Can I e-file anytime, including weekends and holidays?

Yes. The <u>eFiling application</u> is available for use anytime on any day. However, documents submitted after 4:30 pm Monday through Friday, or on weekends and holidays, will be filed on the next regular court day.

15) How do I sign e-filed documents?

See <u>GR 30</u> for accepted procedures for signing electronic documents.

16) If a Judge e-files an order, how do they sign it?

When Judges sign *electronically filed orders* they will do so using a digital signature. Digital signatures consist of a 'hash' of characters that is unique to each order to which it is applied:

This document is signed in accordance with the provisions in GR 30.

Certificate Hash: 32D399B117C5761F71F2FE1ED7927F34CF5279E3

Certificate effective date: 5/6/2008 12:20:09 PM Certificate expiry date: 5/6/2010 12:20:09 PM

Certificate Issued by: CN=Washington State CA B1, OU=State of Washington

CA, O=State of Washington PKI, C=US

In addition to this digital signature 'hash' many judges who will be electronically signing orders have elected to also have an image of their pen and ink signature applied to the signature page that is appended to e-filed orders. This signature page will always be the last page. Please note that, for the time being, the vast majority of orders will continue to be signed in hard copy and scanned as they have been in the past.

17) Can I serve other parties and counsel electronically?

Yes. <u>GR 30</u> allows for parties to electronically serve documents on other parties if they agree to accept eService. The Clerk's eFiling application allows parties to opt-in to eService on a case by case basis. Please go to the <u>eFiling application</u> sign in, and select the link to 'Opt-In to E-Service'.

18) Am I supposed to e-file proposed orders?

No. Proposed orders are not filed, unless as an attachment to the original e-filed motion. Proposed orders should be included as part of your *e*Ex Parte via the Clerk and *e*Working Copies submission. Please see the FAQ section on <u>Ex Parte via the Clerk</u> and <u>Working Copies</u> Clerks' web pages for more information.



19) When I e-file a document(s), is it automatically submitted to Ex Parte via the Clerk?

No. Electronically filing a document(s) and submitting documents to eEx Parte via the Clerk are two separate processes within the eFiling application. You can get to theEx Parte via the Clerk component:

- from your e-filing confirmation receipt after e-filing into an existing case,
- from the Status tab under the My Cases option on the home page when initiating a new case or after e-filing into an existing case
- from the eFiling application home page process link "Ex Parte via the Clerk".when your Ex Parte submission is not directly coupled to documents being e-filed.

For addition *e*Ex Parte via the Clerk information, please view: http://www.kingcounty.gov/courts/Clerk/Ex%20Parte

20) When I e-file a document(s), are working copies automatically sent to the court and parties?

No. Electonically filing a document(s) and creating an eWorking Copies submission for the court are two separate processes within the eFiling application. You can get to the eWorking Copies component:

- from your e-filing Confirmation Receipt after e-filing into an existing case,
- from the Status tab under the My Cases option on the home page when initiating a new case of after e-filing into an existing case
- from the eFiling application home page process link "Working Copies".when your Working Copies submission is not directly coupled to documents being e-filed.

For additional eWorking Copies information, please visit: http://www.kingcounty.gov/courts/Clerk/E-Working%20Copies

21) How do I e-file sealed documents?

Please see <u>LCR 79(d) (6)</u> for the requirements for submitting sealed documents. There is not a separate process to e-file sealed documents.

Note: e-filed documents are not available for public viewing until they have first been reviewed and processed by the clerk.

22) If I e-file an unsealed document that has a sealed document attached to it, will the Clerk seal the entire document?

No. The document will not be sealed and will be available for viewing by the public. To seal the document, you will be required to obtain a court order directing the Clerk to seal the entire document and you will further be required to file a redacted version of the document. Please see LGR 15.

23) How do I obtain a 'Writ of Garnishment' or 'Writ of Execution'?



These processes are not changing; you can present it in person or send it by mail.

24) Can I still fax my documents to the clerk for filing?

No. Effective July 1, 2009 the Clerk's Office will not accept fax filings.

25) Is there training available to learn how to use the eFiling application?

Yes. The Clerk's office, in partnership with the <u>Law Library</u>, regularly provides eFiling application demonstration sessions. Self-help training materials are also available online here: <u>eFiling webpage</u>.

If you have any questions or problems e-filing, contact the Clerk's eServices Help Desk by phone: 206-205-1600 or email: eServices@kingcounty.gov.

Processing Questions

26) Can I view my documents after e-filing?

You can view your recently e-filed documents through your e-filing account under 'My Cases>E-File Status' tab for 30 days post submittal date. After the documents' status has changed from 'pending' to 'filed', you may either use the Clerk's ECR Online application to view documents, come to one of the three courthouses and access ECR in our public viewing areas, or submit a correspondence request to the Clerk's Office.

Note: <u>ECR Online</u> access is limited to Criminal, Civil, and Probate cases which were filed (initiated) after November 1, 2004. You cannot view Domestic cases (Divorce, Legal Separation, Child Support, etc), Guardianship cases or Protection Orders via the internet.

27) How long will it take for my document to be processed?

Generally, documents are viewable through the ECR application within one business day, and fully processed within three business days.

28) Can my e-filed documents be rejected for filing?

Yes. The Clerk may reject e-filed documents. The Clerk will notify you if your documents, or case, cannot be accepted for filing. An e-mail notification will be sent to your eFiling application user account e-mail address. You may also check the status of your filings, and whether a document has been filed or rejected, in the eFiling application under the 'My Cases > E-File Status' tab.

29) How do I receive my case schedule?

For managed cases, an Order Setting Case Schedule will be automatically generated after you have completed the e-commerce transaction. You will be able to print and/or save a copy of your schedule from you Confirmation Receipt.



You may view your e-filed documents, including you case schedule, in recently initiated e-filed cases through your eFiling account under 'My Cases>E-File Status' tab for 30 days post submittal date. After the documents have been processed, you may use the Clerk's <u>ECR Online</u> application to view the documents.

30) Do I still have to file a Case Assignment Designation and Case Information Cover Sheet (CICS)?

No. The <u>eFiling application</u> will automatically create and file a Case Assignment Designation and Case Information Cover Sheet based on selections you make when efiling your documents.

31) Can I obtain certified copies electronically?

No. Electronic delivery of certified copies is not available at this time.

Technical Questions

32) What document file format types will the eFiling application accept?

The <u>eFiling application</u> will only accept Portable Document Format (PDF) and Tagged Image File Format (TIFF) electronic documents when filing into an existing case, starting a new case or for eEx Parte via the Clerk submittals. The 'eWorking Copies' component of the eFiling application will also accept MS Word and Word Perfect files for proposed orders.

33) Do I need any special computer hardware or software to e-file?

To use the <u>eFiling application</u> you will need an internet connection (we recommend a high-speed connection), an active e-mail account, and the ability to convert your documents into the accepted file formats (PDF or TIFF). If you will be converting documents from paper to an electronic format a scanner will be necessary.

34) How do I convert a paper document into an electronic copy if I do not have a scanner?

Scanners available for public use are located at the <u>King County Law Library</u> in the downtown Seattle Courthouse and in the Clerk's Office Customer Service area at the Maleng Regional Justice Center in Kent.

35) What if I am unable to successfully upload and e-file my PDF document? If you are having trouble submitting your PDF document into the eFiling application, your PDF file may be incompatible with the application's file validation requirements.

The *e*Filing application suite is currently compatible with Adobe® Acrobat 9® software. Please verify that your scanner or PDF conversion software is set to version 9 or less.



Many free PDF conversion software packages are available online. One such product is "Cute PDF" from http://cutepdf.com (choose the FREEWARE download version). Once you have Cute PDF on your computer, open your document and select to 'print'. From your printer options choose the 'Cute PDF Writer' option.

36) May I use the Adobe Typewriter tool to add text to a PDF document file prior to e-filing?

Yes. As of March 15, 2010 the eFiling application suite was enhanced to accept Adobe® Acrobat 9® software capabilities, including Typewriter enhanced documents.

37) Are there file size limits for e-filed documents?

Yes. Individual documents to be e-filed cannot exceed 5 MB. The total size of all documents to be submitted in a single e-filing session cannot exceed 50 MB.

38) What do I do if the document I want to e-file is under 500 pages yet exceeds the file size limit and the eFiling application won't accept it?

You can break the individual document into separate files that do not exceed the 5MB file size limit. Those separate files can then be uploaded as attachments to the main document. Note, that after processing by the clerk, all separately uploaded attachments will be merged with the "lead" document and will from that point forward appear as a single document.

39) How do I e-file large sized or bulky documents, such as maps?

If you are unable to shrink and re-size the document into an acceptable physical size for e-filing, a hard (paper) copy of document may be submitted to the Clerk. The document will be subsequently processed and maintained as a file exhibit. The document will not become part of the permanent case file and you will be assessed the \$20.00 exhibit processing fee.

40) How do I get technical support if I have a problem with the eFiling application?

If you experience technical problems with the eFiling application, you may contact our help desk at (206) 205-1600 or by sending an e-mail to eServices@kingcounty.gov. Our office can only assist with questions relating to e-filing and cannot address questions relating to your hardware or software systems or your internet connectivity.

41) What if my internet connection is down?

It is your responsibility to obtain access to a working internet connection. There are numerous locations that offer free internet access to the public, including public libraries, the <u>King County Law Library</u> on the 6th floor of the downtown Seattle Courthouse, and at the Maleng Regional Justice Center in Kent.

42) What do I do if the eFiling application is down?

In the unlikely event that the *e*Filing application is down unexpectedly, you will be directed to an alternate website (kcscclerkefilingstatus.com) which will provide you with instructions on how you may file your documents. You may also call 206-296-9300 or our *e*Services clerk at 206-205-1600 for help and information.

43) What if the King County website is down and I cannot access the eFiling application?

In the event that the King County network is down, you may call 206-296-9300 or our eServices clerk at 206-205-1600, or go to: kcscclerkefilingstatus.com for instructions on how to file your documents.

44) Will I be notified if the eFiling application will be taken down for system maintenance?

Yes. Periodically we will be taking the system off-line to perform routine maintenance, generally after hours or on weekends. During these times, we will provide notice on our eFiling application sign on page and on the eFiling application status web page: http://www.kcscclerkefilingstatus.com/